

FORM PTO - 1595

1-97-02

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademarks Office

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

HE, Gang; GARIÉPY, Daniel; SCHINN, Gregory Walter;
LAMONDE, MartinAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: May 23, 2000

2. Name and address of receiving party(ies):

Name: **EXFO ELECTRO-OPTICAL ENGINEERING
INC**

Internal Address:

Street Address: **465 Godin Street**City: **Vanier** State: **Quebec, Canada** ZIP: **G1M 3G7**Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 2, 2001; April 3, 2001;
April 6, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Thomas Adams**
Internal Address: **Thomas Adams & Assoc.
Box 11100, Station H, Ottawa,
Ontario, Canada K2H 7T8**Street Address: _____ City: _____
State: _____ ZIP: _____6. Total number of applications and patents involved: **one**

7. Total fee (37 CFR 3.41)\$40.00

- ☐ Enclosed:
☐ Any deficiency authorized to be charged to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

20-0771

(Attach duplicate copy of this page if paying by deposit account)

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9. STATEMENT AND SIGNATURE.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***THOMAS ADAMS**
Name of Person Signing

Signature

Date

6th April 2001

Total number of pages comprising cover sheet:

three

ASSIGNMENT

(All rights, all countries)

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, each undersigned inventor does hereby confirm his sale, assignment, transfer and setting over, and does hereby sell assign, transfer and set over to:

EXFO ELECTRO-OPTICAL ENGINEERING INC.

whose full post office address is:

(Assignee),

465 Godin Street, Vanier, Quebec, G1M 3G7, Canada

its successors, assigns and legal representatives, his entire right, title and interest, including priority rights, for all countries in and to certain inventions as fully set forth and described in Canadian patent application No. 2,304,898 and corresponding United States provisional patent application, both filed April 7, 2000, and entitled: **MULTI-PASS TUNABLE OPTICAL FILTER USING A POLARIZATION-DEPENDENT FILTER ELEMENT, AND MULTI-PASS OPTICS THEREFOR** together with his entire right, title and interest in and to any and all Letters Patent which may issue or be re-issued for said inventions to the full end of the term for which each said Letters Patent may be granted:

AND hereby authorizes the issuance to said Assignee of any and all said Letters Patent not already issued as the assignee of his entire right, title and interest in and to the same, for the sole use and benefit of said assignee, its successors, assigns or legal representatives:

AND on behalf of himself and his executors and administrators, hereby covenants and agrees to do all such lawful acts and things and to execute without further consideration such further lawful assignments, documents, assurances, applications, and other instruments as may reasonably be required by said Assignee, its successors, assigns or legal representatives, to obtain any and all said Letters Patent, in any country, for said invention and vest the same in said Assignee, its successors, assigns or legal representatives.

EACH of the undersigned hereby grants the firm Thomas Adams & Assoc. the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of a patent office for recordation of this Assignment.

EXECUTED AT Vanier

THIS 23 DAY OF May

2000

M. LeBlanc
Witness' Signature

MICHEL LEBLANC
Witness' Name

Gang He
INVENTOR 1: GANG HE

EXECUTED AT Vanier

THIS 23rd DAY OF May

2000

Serge Richard
Witness' Signature

Serge Richard
Witness' Name

Daniel Gariépy
INVENTOR 2: DANIEL GARIÉPY

EXECUTED AT

Vanier

THIS 23

DAY OF

May

2000

Witness' Signature

MICHEL LEALANX

Witness' Name

INVENTOR 3: GREGORY WALTER SCHINN

EXECUTED AT

Vanier

THIS 23

DAY OF

May

2000

Witness' Signature

MICHEL LEALANX

Witness' Name

INVENTOR 4: MARTIN LAMONDE

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